

# PRIMER ON REPRESENTATION THEORY

FERNANDO LIU LOPEZ

## 1. BASIC NOTIONS

### 1.1. Representations of algebras.

**Definition 1.** Let  $k$  be a field, and  $A$  a  $k$ -algebra. A **representation** of  $A$  consists of a  $k$ -vector space  $V$  and a algebra homomorphism  $\rho : A \rightarrow \text{End}_k(V)$ . We denote the image of  $a \in A$  by  $\rho_a$  and write  $a \cdot v := \rho_a(v)$  for  $v \in V$  to suggest each element of  $a \in A$  acts on  $V$  by some endomorphism.

A **homomorphism of representations**  $(V, \rho^V) \rightarrow (W, \rho^W)$  is a linear map  $\phi : V \rightarrow W$  satisfying  $\phi(a \cdot v) = a \cdot \phi(v)$  for all  $a \in A, v \in V$ . We denote by  $\text{Rep}_A$  the category of  $k$ -representations of  $A$ .

The **dimension** of a representation is simply the dimension of  $V$  as a vector space.

**Remark (Representations=Modules).** Using the tensor-hom adjunction of  $k$ -vector spaces, the data of a representation  $\rho : A \rightarrow \text{End}_k(V) = \text{Hom}_k(V, V)$  is equivalent to the following  $k$ -linear map  $A \otimes V \rightarrow V$ ,

$$A \otimes V \xrightarrow{\rho \otimes 1} \text{End}(V) \otimes V \xrightarrow{ev} V \quad a \otimes v \mapsto \rho_a \otimes v \mapsto a \cdot v = \rho_a(v).$$

Tracing through this correspondence, the requirement that  $\rho$  be an algebra map (not just a  $k$ -linear map) correspond precisely to the  $A$ -module axioms:

$$\rho(ab) = \rho(a)\rho(b) \quad \Leftrightarrow \quad ab \cdot v = a \cdot (b \cdot v),$$

$$\rho(1_A) = 1_V \quad \Leftrightarrow \quad 1_A \cdot v = v.$$

Similarly, homomorphisms of representations correspond to  $A$ -module homomorphisms. In fact,  $\text{Rep}_A$  is isomorphic to the category of left  $A$ -modules  $\mathbf{Mod}_A$ . This means the study of representations and modules is one and the same, and formalizes the notation  $a \cdot v = \rho_a(v)$ .

**Definition 2.** A **subrepresentation** of  $(V, \rho)$  is a subspace  $W \subseteq V$  invariant under all  $A$ -actions, i.e.  $a \cdot W \subseteq W$  for all  $a \in A$ . In  $\mathbf{Mod}_A$ , these correspond to **submodules** of  $V$ .

**Definition 3.** An **irreducible representation** is one with no nontrivial proper subrepresentations. In  $\mathbf{Mod}_A$ , these correspond to the **simple**  $A$ -modules.

**Definition 4.** Given representations  $V_1, V_2$  of  $A$ , their **direct sum**  $V_1 \oplus V_2$  is a representation via  $a \cdot (v_1 \oplus v_2) = a \cdot v_1 \oplus a \cdot v_2$ . A nonzero representation is **indecomposable** if it's not isomorphic to a direct sum of two nonzero representations.

**Definition 5.** A representation is **completely reducible** if it is a direct sum of (its) irreducible (sub)representations. In  $\mathbf{Mod}_A$ , these modules are called **semisimple**, and they decompose as (internal) direct sums of (their own) simple (sub)modules.

## 1.2. Representations of groups.

**Definition 6.** A **group representation** for a group  $G$  over  $k$  consists of a  $k$ -vector space  $V$  along with a group homomorphism  $G \rightarrow \text{End}_k(V)$ .

**Remark (Representations=Linear Actions).** Most of us encounter **group actions** before we encounter representations. These consist of a group  $G$ , a set  $X$ , and a function  $G \times X \rightarrow X$  satisfying:

$$\forall g, h \in G, x \in X : \quad gh \cdot x = g \cdot (h \cdot x) \quad \text{and} \quad 1_G \cdot x = x.$$

This definition is eerily similar to that of a module. In fact, using the tensor-hom adjunction in the category of **Set** (aka currying  $\text{Hom}_{\mathbf{Set}}(X \times Y, Z) \cong \text{Hom}_{\mathbf{Set}}(X, \text{Hom}_{\mathbf{Set}}(Y, Z))$ ), a group action is equivalent to a group homomorphism  $G \rightarrow S_X = \text{End}_{\mathbf{Set}}(X)$  to the symmetric group on  $X$ . We should also note that we don't need  $G$  above to be a group. Any object with an associative binary operation and identity element (i.e. any monoid) can fit the definition.

From this vantage point, we may think of group/monoid actions as set-theoretic versions of representations. Alternatively, representations are the  $k$ -linear analogs of group actions.

**Definition 7.** Given a group  $G$  and a ring  $R$ , the group ring  $(R[G], +, \cdot)$  has:

- $(R[G], +)$  is the free  $R$ -module with basis  $G$ .
- $(R[G], \cdot)$  extends the group law on the basis  $R$ -linearly, e.g.  $rg \cdot \sum_i r_i g_i = \sum_i (rr_i)(gg_i)$ .

When  $R$  is commutative, the  $R$ -scalars can move around freely, so the multiplication map  $R[G] \times R[G] \rightarrow R[G]$  becomes  $R$ -bilinear, and  $R[G]$  becomes an  $R$ -algebra.

As in the remark above, group algebras  $k[G]$  are simply  $k$ -linear analogs of groups.

**Remark (Group Reps are Reps).** Group representations are instances of algebra representations. Using the tensor-hom adjunction, notice that group representations are equivalent to modules over the group algebra  $k[G]$ .

$$k[G] \otimes V \rightarrow V \quad \longleftrightarrow \quad k[G] \rightarrow \text{Hom}(V, V) = \text{End}(V).$$

**Remark.** Categorically, the group ring functor  $R[-] : \mathbf{Group} \rightarrow \mathbf{Alg}_R$  is left adjoint to the group of units functor  $(-)^{\times} : \mathbf{Alg}_R \rightarrow \mathbf{Group}$ . The universal property of  $R[G]$  states that group homomorphisms  $f : G \rightarrow S^{\times}$  to  $R$ -algebras  $S$  are in correspondence with  $R$ -algebra homomorphisms  $\bar{f} : R[G] \rightarrow S$  making the following commute:

$$\begin{array}{ccc} G & \hookrightarrow & R[G] \\ & \searrow f & \downarrow \bar{f} \\ & & S \end{array}$$

**Remark (Group rings as Convolution Algebras).** It is also helpful to define the group ring  $R[G]$  as the collection of functions  $G \rightarrow R$  with finite support. Addition and  $R$ -scaling work as expected, while the product of two functions  $f, f' : G \rightarrow R$  is given by convolution:

$$(f \cdot f')(g) := \sum_{hh'=g} f(h)f'(h') = \sum_{h \in G} f(h)f'(h^{-1}g).$$

In particular, when  $G$  is finite we may identify  $R[G]$  as the space of  $R$ -valued functions on  $G$ .

### 1.3. Representations of Lie algebras.

**Definition 8.** A  $k$ -vector space  $\mathfrak{g}$  is a **Lie algebra** if it comes equipped with a skew-symmetric bilinear map  $[-, -] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying the Jacobi identity:

- (Skew-Symmetry)  $\forall x, y \in \mathfrak{g}$ :  $[x, x] = 0$  and  $[x, y] = -[y, x]$ .
- (Jacobi Identity)  $\forall x, y, z \in \mathfrak{g}$  we have  $[x, [y, z]] = [[x, y], z] + [y, [x, z]]$ .

A **homomorphism** of Lie algebras  $\varphi : \mathfrak{g}_1 \rightarrow \mathfrak{g}_2$  is a linear map preserving the brackets:

$$\varphi([a, b]) = [\varphi(a), \varphi(b)].$$

**Example 9.** Any algebra  $A$  is a Lie algebra via the commutator bracket  $[a, b] := ab - ba$ . In particular, for any  $V \in \mathbf{Vect}$ ,  $\text{End}_k(V)$  is a Lie algebra, which we denote  $\mathfrak{gl}(V)$ .

**Definition 10.** Let  $\mathfrak{g}$  be a Lie algebra. The **universal enveloping algebra**  $\mathcal{U}(\mathfrak{g})$  is the quotient  $\mathcal{U}(\mathfrak{g}) = T(\mathfrak{g}) / \sim$  of the tensor algebra  $T(\mathfrak{g})$  by the relations  $a \otimes b - b \otimes a = [a, b]$ . As such, it comes equipped with a canonical map  $i : \mathfrak{g} \rightarrow \mathcal{U}(\mathfrak{g})$ .

Recalling that algebras can always be considered Lie algebras via the commutator bracket, the universal property of  $\mathcal{U}(\mathfrak{g})$  gives a correspondence between Lie algebra maps from  $\mathfrak{g}$  and algebra maps from  $\mathcal{U}(\mathfrak{g})$ . Namely, for any algebra  $A$ , Lie algebra maps  $\varphi : \mathfrak{g} \rightarrow A$  are in correspondence with algebra homomorphisms  $\hat{\varphi} : \mathcal{U}(\mathfrak{g}) \rightarrow A$  satisfying  $\varphi = \hat{\varphi} \circ i$ .

If  $\mathfrak{g}$  has a basis  $x_i$  and relations  $[x_i, x_j] = \sum_k c_{i,j}^k x_k$ , then  $\mathcal{U}(\mathfrak{g})$  is generated by  $x_i$  with defining relations  $x_i x_j - x_j x_i = \sum_k c_{i,j}^k x_k$ .

**Example 11.** For any algebra  $A$ , a linear map  $D : A \rightarrow A$  is a **derivation** if it satisfies Leibniz's rule:

$$D(ab) = D(a)b + aD(b).$$

The space of derivations  $\text{Der}(A)$  is a Lie algebra with commutator bracket.

**Definition 12.** A **representation** of a Lie algebra  $\mathfrak{g}$  is a vector space  $V$  along with a Lie algebra homomorphism  $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V) = \text{End}_k(V)$ . Using the universal property of  $\mathcal{U}(\mathfrak{g})$ , we see that representations of Lie algebras are equivalent to representations of their universal enveloping algebras.

**Remark (Jacobi=Leibniz).** Every Lie algebra  $\mathfrak{g}$  admits an **adjoint representation**

$$\text{ad} : \mathfrak{g} \rightarrow \mathfrak{gl}(\mathfrak{g}) \quad x \mapsto \text{ad}_x = [x, -].$$

The Jacobi identity states that the adjoint actions acts like derivations on  $(\mathfrak{g}, [-, -])$ :

$$(\text{Jacobi Identity}) \quad \text{ad}_x([y, z]) = [\text{ad}_x(y), z] + [y, \text{ad}_x(z)].$$

**Remark (Lie Algebras are Infinitesimal Automorphisms).** Lie algebras arise as spaces of infinitesimal automorphisms (derivations) of algebras. Let  $A$  be a finite dimensional algebra over  $\mathbb{R}$  or  $\mathbb{C}$ . Given a 1-parameter family of differentiable automorphisms  $\{g(t) \in \text{Aut}(A) \mid t \in (-\epsilon, \epsilon)\}$  with  $g(0) = \text{id}$ , then  $g'(0)$  is a derivation. Conversely, given a derivation,  $e^{tD}$  is a 1-parameter family of automorphisms.

**Example 13.** The space  $\mathfrak{so}(n)$  of skew-symmetric  $n \times n$  matrices is a Lie algebra using the commutator. For  $n = 3$ ,  $\mathfrak{so}(3)$  is isomorphic to  $\mathbb{R}^3$  with the cross product.

The space  $\mathfrak{sl}(n)$  of  $n \times n$  trace zero matrices is a Lie algebra via the commutator. For  $n = 3$ , we have basis  $e = (\delta_{1,2})$ ,  $f = (\delta_{2,1})$ , and  $h = \text{diag}(1, -1)$  and relations:

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h.$$

The Heisenberg Lie algebra  $\mathcal{H}$  of strictly upper triangular  $3 \times 3$  matrices has basis  $x = (\delta_{2,3})$ ,  $y = (\delta_{1,2})$ , and  $c = (\delta_{1,3})$  with relations  $[y, x] = c$  and  $[y, c] = [x, c] = 0$ .

#### 1.4. General Results.

**Theorem 14 (Schur's Lemma).** Let  $\phi : V \rightarrow W$  be a nonzero hom of representations.

- If  $V$  is irreducible, then  $\phi$  is 1-1 because  $\ker(\phi)$  is a subrepresentation.
- If  $W$  is irreducible, then  $\phi$  is onto because  $\text{im}(\phi)$  is a subrepresentation.
- In particular, homs between irreducible representations are isomorphisms or zero.

Now let  $V$  be a finite-dimensional irreducible representation of  $A$  and  $\phi : V \rightarrow V$  a hom.

- If  $k$  is algebraically closed, then  $\phi = \lambda \text{id}$  for some  $\lambda \in k$ .
- In particular, if  $A$  is commutative, irreducible reps are 1-dimensional (and vice versa).

*Proof.* For the next-to-last part, the characteristic polynomial of  $\phi$  has a root  $\lambda$ , so  $\phi - \lambda \text{id}$  is a hom of irreducible representations that is *not* an isomorphism ( $\det = 0$ ), so  $\phi - \lambda \text{id} = 0$ .

For the last part, commutativity implies each  $\rho_g$  is a hom of representations, hence a scalar operator. So every subspace is a subrepresentation, which forces  $\dim V = 1$  to avoid nontrivial subspaces.  $\square$

**Theorem 15 (Subrepresentations of Semisimple Representations).** Let  $V_i$  be irreducible finite dimensional pairwise nonisomorphic representations of  $A$ , and  $n_i$  be positive

integers. The subrepresentations  $W$  of the semisimple  $\bigoplus_i n_i V_i$  are of the form  $\bigoplus_i r_i V_i$  with  $r_i \leq n_i$ .

Furthermore, the inclusion  $\phi : W \hookrightarrow V$  is a direct sum of inclusions  $\phi_i : r_i V_i \rightarrow n_i V_i$ .

**Theorem 16 (Density Theorem).** Let  $k$  be algebraically closed and  $V$  be an irreducible finite dimensional representation of  $A$ .

- (1) If  $v_1, \dots, v_n \in V$  are linearly independent, then  $\forall w_1, \dots, w_n \in V, \exists a \in A : av_i = w_i$ .
- (2) The map  $\rho : A \rightarrow \text{End}(V)$  is surjective.
- (3) If  $(V_i, \rho_i)$  are irreducible pairwise nonisomorphic finite dimensional representations of  $A$  then the map  $\bigoplus_i \rho_i : A \rightarrow \bigoplus_i \text{End}(V_i)$  is surjective.

**Theorem 17 (Representations of Matrix Algebras).** Let  $A = \bigoplus_{i=1}^r \text{Mat}_{d_i}(k)$ . The irreducible representations of  $A$  are  $k^{d_1}, k^{d_2}, \dots, k^{d_r}$ . Any finite dimensional representation of  $A$  is a direct sum of copies of these.

**Theorem 18 (Maschke's Theorem).** Let  $G$  be a finite group and  $\text{char}(k) \nmid |G|$ . Then:

- (1)  $k[G]$  is semisimple,
- (2)  $k[G] \cong \bigoplus_i \text{GL}(V_i)$  as algebras, where  $V_i$  are the irreducible representations of  $G$ .
- (3) The regular representation of  $k[G]$  decomposes into  $\bigoplus_i \dim(V_i) V_i$ , giving the formula:

$$|G| = \sum_i \dim(V_i)^2.$$

## 2. CHARACTERS

Let  $A$  be an algebra and  $V$  a finite dimensional representation.

**Definition 19.** The **character** of  $V$  is the linear function  $\chi : A \rightarrow k$  given by:

$$\chi(a) = \text{Tr}(\rho_a).$$

**Remark.** Since  $\text{Tr}(M + N) = \text{Tr}(M) + \text{Tr}(N)$  and  $\text{Tr}(MN) = \text{Tr}(NM)$  for matrices  $M, N$ , it follows that  $[A, A] \subseteq \ker(\chi)$ . Using the universal property of the quotient, we may thus view the character of  $V$  as a map  $\chi : A/[A, A] \rightarrow k$  instead.

**Theorem 20 (Independence of Characters).** The characters of distinct irreducible finite dimensional representations of  $A$  are linearly independent. If  $A$  is a finite dimensional semisimple algebra, then these characters form a basis for  $(A/[A, A])^*$ .

**Remark (Characters of Group Representations).** Let  $V$  be a finite dimensional group representation of a finite group  $G$ . We define the **character** of  $V$  as  $\chi : G \rightarrow \mathbb{k}$  with  $\chi(g) = \text{Tr}(\rho_g)$ . This is simply the restriction of the usual character to the basis  $G$  of  $\mathbb{k}[G]$ , and carries the same information.

Group characters are **class functions**, i.e. they are constant on conjugacy classes (or equivalently, if  $\chi(hg) = \chi(gh)$  for all  $g, h \in G$ ). This follows since traces are constant under cyclic permutations:

$$\chi(hgh^{-1}) = \text{Tr}(\rho_h \rho_g \rho_{h^{-1}}) = \text{Tr}(\rho_g \rho_{h^{-1}} \rho_h) = \text{Tr}(\rho_g) = \chi(g).$$

Viewing  $\mathbb{k}[G]$  as the space of  $k$ -valued functions, we denote by  $Z \subseteq \mathbb{k}[G]$  the space of  $k$ -valued class functions on  $G$ .

**Theorem 21 (Character Basis for Finite Groups).** Let  $G$  be a finite group with  $\text{char } \mathbb{k} \nmid |G|$ . The characters of the irreducible representations of  $G$  form a basis for the space of  $k$ -valued class functions  $Z$ . In particular, the number of irreducible representations of  $G$  equal the number of conjugacy classes in  $G$ . In characteristic zero, representations of  $G$  are uniquely determined by their characters, i.e.  $\chi_V = \chi_W$  iff  $V \cong W$ .

*Proof.* By Maschke's Theorem,  $A = \mathbb{k}[G]$  is semisimple and the characters form a basis for  $(A/[A, A])^*$ . But as vector spaces:

$$\begin{aligned} (A/[A, A])^* &= \{\text{linear } \bar{f} : A/[A, A] \rightarrow k\} \\ &\cong \{\text{linear } f : A \rightarrow k \mid [A, A] \subseteq \ker(f)\} \\ &\cong \{\text{linear } f : \mathbb{k}[G] \rightarrow k \mid \forall g, h \in G : f(gh - hg) = 0\} \\ &= \{\text{linear } f : \mathbb{k}[G] \rightarrow k \mid \forall g, h \in G : f(gh) = f(hg)\} = Z. \end{aligned}$$

□

**Example 22 (Dual Group of Finite Abelian Group).** Let  $G = \mathbb{Z}_{n_1} \times \cdots \times \mathbb{Z}_{n_k}$ . Denote by  $G^\vee$  the set of irreducible representations of  $G$  over  $\mathbb{C}$ . Abelian implies every element is in its own conjugacy class, so  $|G^\vee| = |G|$ . Since  $\mathbb{C}$  is algebraically closed, the irreducible representations are 1-dimensional (Schur's Lemma), and look like  $\rho : G \rightarrow \text{Aut}(\mathbb{C}) = \mathbb{C}^\times$ .

When  $G = \mathbb{Z}_n$ , by finiteness, irreducible representations  $\rho : \mathbb{Z}_n \rightarrow \mathbb{C}^\times$  must map  $1 \mapsto \omega_n$ , and so  $\mathbb{Z}_n^\vee = \{\rho^k \mid k = 0, 1, \dots, n-1\}$

*Email address:* `fllfernando95@gmail.com`

*URL:* `https://fernando-liu-lopez.github.io/fernandoliulopez.github.io/`